



STATEMENT OF PRIVACY POLICY

New England Private Wealth Advisors, LLC (NEPWA) is committed to maintaining the confidentiality, integrity and security of nonpublic personal information that is entrusted to us by our clients. This Privacy Notice summarizes our policies regarding the confidentiality and disclosure of nonpublic personal information of our clients, prospective clients and former clients. “Personal information” refers to nonpublic financial information obtained by us in connection with carrying out our services.

Collection of Nonpublic Personal Information

We must collect certain personally identifiable information about our clients in order to provide our financial/investment services. The personal information may include:

- Information that you provide on applications, legal and tax documents or other forms (your name, address, social security number, date of birth, etc.)
- Information from communications (electronic, telephone, written or in person) with you or your authorized representatives (such as your attorney, accountant, etc.)
- Information about your brokerage accounts and transactions (such as purchases, sales, account balances, inquiries, etc.)

Sharing of Nonpublic Personal Information

We share information only in a limited way, to conduct our business and provide quality services to customers, or as required by law. We do not sell any personal information about you to any third party. We will not disclose personal information about current or former clients to non-affiliated third parties except to:

- Service providers necessary to effect transactions and administrative and reporting services (custodians, money managers, other business partners/vendors)
- Regulatory authorities
- Our attorneys and persons assessing our compliance with industry standards

These third parties are prohibited from using or sharing your personal information for any other purpose.

If given consent, we may disclose personal information to clients’ attorneys, accountants, and other advisors to assist us in providing services.



Security of Information

We employ physical, electronic and procedural safeguards to protect your nonpublic personal information. We restrict access to your nonpublic personal information about you to those employees who need the information to provide services to you. Our employees are trained to keep your information safe and confidential. All employees are required to comply with NEPWA's Code of Ethics, Privacy Policy, and Privacy & Information Security Policy. NEPWA will update its policy and procedures when necessary to ensure that your privacy is maintained and that NEPWA conducts business in a way that fulfills our commitment to you. If we make any material changes in our privacy policy, we will make that information available to you.

NEPWA will comply with the laws, regulations, and rules we are governed by.

Delivery of Privacy Notice

Please contact Melissa Boccaci at (781) 416-1707 or melissa.boccaci@nepwealth.com if you have any questions or would like additional copies of this Privacy Notice.